

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of: Harold Trick

Serial No.:

10/616,390

Group No.: 1638

Filed:

07/09/2003

Examiner: Ibrahim

Entitled:

COMPOSITIONS AND METHODS FOR CONTROLLING

PARASITIC NEMATODES

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

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Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

A check for \$180.00 is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Information Disclosure Statement after three months as set forth in C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated:

March 23, 2006

Registration No. 55,210

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 608/218-6900



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,981,839
- U.S. Patent No. 6,051,757
- U.S. Patent No. 5,981,840
- U.S. Patent No. 5,824,877
- U.S. Patent No. 4,940,838

- U.S. Patent No. 6,506,559
- U.S. Publication No. 2005/0091713 A1
- U.S. Publication No. 2004/0133943 A1
- Wrather et al., 1994. Plant Disease 81:107-110
- Sim et al., Plant Disease 70:603 (1986)
- Todd et al., Nematology 27:628-633 (1995)
- Young, Supplement to the Journal of Nematology 30:525-529 (1998)
- Guo et al., , 1995, Cell, 81 (4) 611-620
- Fire et al., 1998, Nature 391: 806-811
- Carthew, Curr. Opin. Cell Biol. 13(2):244-248 (2001)
- Fraser et al., . Nature 408, 325-330 (2000)
- Gonczy et al., Nature 408, 331-336 (2000)
- Maeda et al., Curr Biol 11, 171-176 (2001)
- Piano et al., Current Biology 10:1619

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: March 23, 2006

Robert A. Goetz

Registration No. 55,210

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

10/616,390

Harold N. Trick, et

07/09/03

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Application Number

First Named Inventor

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e for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1

(Use as many sheets as necessary) **Examiner Name** Attorney Docket Number | KSURF-08151

				DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US- 5,981,839	11/09/1999	Knauf et al.	whole document	
	2	^{US-} 6,051,757	04/18/2000	Barton et al.	whole document	
·	3	^{US-} 5,981,840	11/09/1999	Kurimoto et al.	whole document	
	4	^{US-} 5,824,877	10/20/1998	Hinchee et al.	whole document	
,	5	^{US-} 4,940,838	07/10/1990	Schilperoort et al.	whole document	
	6	^{US-} 6,506,559	01/14/2003	Fire et al.	whole document	
	7	US- 2005/0091713 A1	04/28/2005	Atkinson et al.	whole document	
	8	us- 2004/0133943 A1	07/08/2004	Plaetinck et al.	whole document	
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	- 6			
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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KSURF-08151

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Attorney Docket Number

Sheet

13

- -		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	9	Wrather et al., 1994. Plant Disease 81:107-110	
	10	Sim et al., Plant Disease 70:603 (1986)	
· · ·	11	Todd et al., Nematology 27:628-633 (1995)	
	12	Young, Supplement to the Journal of Nematology 30:525-529 (1998)	
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	14	Fire et al., 1998, Nature 391: 806-811	
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	17	Gonczy et al., Nature 408, 331-336 (2000)	
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STA	STATEMENT BY APPLICANT		First Named Inventor	Harold N. Trick, et			
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Sheet	3	of	3	Attorney Docket Number	KSURF-08151		

		NON PATENT LITERATURE DOCUMENTS	
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	19	Piano et al., Current Biology 10:1619	
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